

AUG 30 2005 2:17PM

UVA Patent Foundation

No. 0643 P. 1

PATENT
Attorney Docket No. 00789-05

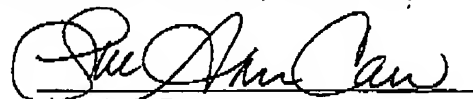
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<i>Applicant:</i>	Jeffrey A. Smith, et al.	}	<i>Customer No.</i>	34444
<i>Serial No.</i>	10/517,328	}	<i>Art Unit:</i>	1645
<i>Filing Date:</i>	December 9, 2004	}	<i>Examiner:</i>	Unknown
<i>Title:</i>	RSK Inhibitors and Therapeutic Uses Thereof			

Certificate of Facsimile Under 37 CFR §1.8

I hereby certify that this correspondence is being transmitted via facsimile to (703) 746-9195 under 37 C.F.R. §1.8(a)(1)(i)(B) on the date indicated below and is addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date: August 30, 2005


Sue Ann Carr

Request for Correction of Filing Receipt

VIA FACSIMILE 703-746-9195
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Please correct the Filing Receipt mailed June 30, 2005 for the above identified application to reflect the inventors as listed on the declaration submitted on December 9, 2004. A copy of the declaration is included.

We also request that the Domestic Priority data as claimed by applicant be corrected to reflect:

This application is a 371 of PCT/US03/18734 06/12/2003
which claims benefit of 60/388,006 06/12/2002
and claims benefit of 60/449,553 02/24/2003

A copy of the Filing Receipt is attached, with changes marked.

No fees are believed to be due for this submission. If this belief is in error, the Commissioner is hereby authorized to charge any fees due for this submission to Deposit

Aug. 30. 2005 2:17PM

UVA Patent Foundation

No. 0643 P. 2



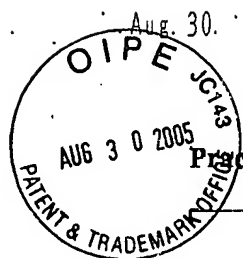
Attorney Docket No. 00789-05
U. S. Patent Application Serial No. 10/517,328
Page 2 of 2

Account No. 50-0423. Please contact me with any questions at the telephone number listed below. Thank you for your assistance.

Respectfully submitted,

Date: August 30, 2005

Rodney L. Sparks
Registration No. 53,625
University of Virginia Patent Foundation
250 West Main Street, Suite 300
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Fax: (434) 924-2493



Aug. 30. 2005 2:17PM

UVA Patent Foundation

No. 0643 P. 3

Practitioner's Docket No. 00789-05

PATENT

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is for the National Stage of PCT/US03/18734.

INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship are as stated below, next to my name. I believe that I am an original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

RSK Inhibitors and Therapeutic Uses Thereof

SPECIFICATION IDENTIFICATION

The specification of which was filed on 06/12/2003 as PCT International Application No. PCT/US03/18734.

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, Section 1.56.

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

PROVISIONAL APPLICATION NUMBER

60/388,006

60/449,553

FILING DATE

06/12/2002

02/24/2003

(Declaration and Power of Attorney-Page 1 of 3)

POWER OF ATTORNEY

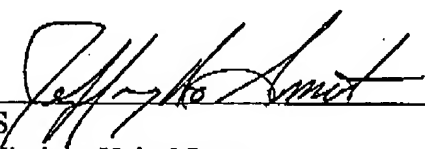
I hereby appoint the practitioners associated with Customer No. 34444 to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

CORRESPONDENCE ADDRESS

Please direct all correspondence to the address associated with Customer No. 34444.

DECLARATION

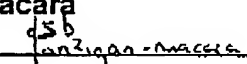
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURES**Jeffrey A. Smith**Inventor's signature Date 12-6-04

Country of Citizenship US

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Deborah A. Lannigan-MacaraInventor's signature Date Dec 6, 2004

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Residence Charlottesville, Virginia, United States

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(Declaration and Power of Attorney-Page 2 of 3)

Celeste E. Poteet-SmithInventor's signature Celeste E. Poteet-SmithDate 12/6/04

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Sidney M. HechtInventor's signature Sidney M. HechtDate 11/15/04

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Yaming XuInventor's signature Yaming XuDate 11/15/04

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David L. BrautiganInventor's signature DL BrautiganDate 12/8/04

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(Declaration and Power of AttorneyBPage 3 of 3)


 AUG 30 2005
 PATENT OFFICE
 UNITED STATES PATENT AND TRADEMARK OFFICE

 UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
✓ 10/517,328	✓ 12/09/2004	1845	2205	00789-05	9	47	10

✓ CONFIRMATION NO. 7405

 34444
 UNIVERSITY OF VIRGINIA PATENT FOUNDATION
 250 WEST MAIN STREET, SUITE 300
 CHARLOTTESVILLE, VA 22902

FILING RECEIPT



OC000000016279333

Date Mailed: 06/30/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Jeffrey A Smith, Earlysville, VA;
 Deborah A. Lannigan-Macara, Charlottesville, VA;
 Celeste E. Poteet-Smith, Earlysville, VA
 Sidney M. Hecht, Charlottesville, VA; Yaming Xu, Charlottesville, VA;

 Power of Attorney: The patent practitioners associated with Customer Number 34444. David L. Brantigan,
 Charlottesville, VA

Domestic Priority data as claimed by applicant

 This application is a 371 of PCT/US03/18734 08/12/2003
 which claims benefit of 60/388,006 06/12/2002
 and claims benefit of 60/449,553 02/24/2003

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Rsk inhibitors and therapeutic uses thereof

Preliminary Class

435

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

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United States Patent and Trademark Office
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/517,328	Jeffrey A Smith	00789-05

INTERNATIONAL APPLICATION NO.

PCT/US03/18734

1A. FILING DATE	PRIORITY DATE
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06/12/2003

34444

UNIVERSITY OF VIRGINIA PATENT FOUNDATION
250 WEST MAIN STREET, SUITE 300
CHARLOTTESVILLE, VA 22902

CONFIRMATION NO. 7405

371 ACCEPTANCE LETTER



0000000016279334

Date Mailed: 06/30/2005

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is **ACCEPTED** for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>12/09/2004</u>	<u>12/09/2004</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Indication of Small Entity Status
- Copy of the International Application filed on 12/09/2004
- Copy of the International Search Report filed on 12/09/2004
- Copy of IPE Report filed on 12/09/2004
- Preliminary Amendments filed on 12/09/2004
- Biochemical Sequence Diskette filed on 12/09/2004
- Oath or Declaration filed on 12/09/2004
- Biochemical Sequence Listing filed on 12/09/2004
- Request for Immediate Examination filed on 12/09/2004
- U.S. Basic National Fees filed on 12/09/2004
- Priority Documents filed on 12/09/2004

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)